

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John MELLERT *et al.*

Title: METHODS FOR RELIABLE COMMUNICATION OF LINK
MONITORING INFORMATION IN OPTICAL
COMMUNICATIONS NETWORKS

Appl. No.: Not Yet Assigned

Filing Date: August 13, 2001

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

UTILITY PATENT APPLICATION
TRANSMITTAL

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

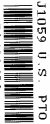
John MELLERT
Ronald E. JOHNSON
Jeffrey LYNCH

☒ [X] Applicant claims small entity status under 37 CFR 1.27.

Enclosed are:

- ☒ [X] Specification, Claim(s), and Abstract (20 pages).
- ☒ [X] Informal drawings (7 sheets, Figures 1-7).
- ☒ [X] Unexecuted Declaration and Power of Attorney (4 pages).
- ☐ [] Assignment of the invention to _____
- ☐ [] Assignment Recordation Cover Sheet.
- ☐ [] Small Entity Statement.
- ☐ [] Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).

08/13/01



09927441.081301



- ☐ Information Disclosure Statement.
- ☐ Form PTO-1449 with copies of ___ listed reference(s).
- ☐ Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

	Claims as Filed	Included in Basic Fee	Extra Claims	Rate	Fee Totals
Basic Fee				\$710.00	\$710.00
Total Claims:	17	- 20	= 13	x \$18.00	= \$0.00
Independents:	3	- 3	= 0	x \$80.00	= \$0.00
If any Multiple Dependent Claim(s) present:				+ \$270.00	= \$0.00
Surcharge under 37 CFR 1.16(e) for late filing of Executed Declaration and late payment of filing fee				+ \$130.00	= \$130.00
				SUBTOTAL:	= \$840.00
<input checked="" type="checkbox"/> Small Entity Fees Apply (subtract ½ of above):					= \$840.00
				TOTAL FILING FEE:	= \$420.00

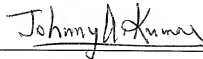
- ☐ A check in the amount of \$420.00 to cover the filing fee is enclosed.
- ☒ The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date: August 13, 2001

By



FOLEY & LARDNER
Washington Harbour
3000 K Street, N.W., Suite 500
Washington, D.C. 20007-5109
Telephone: (202) 672-5489
Facsimile: (202) 672-5399

Johnny A. Kumar
Attorney for Applicant
Registration No. 34,649